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Barbara has practiced in the securities and corporate areas for nearly 30 years, including with a leading international law firm, a leading national law firm, a Vancouver based securities boutique law firm and as senior legal counsel with the Ontario Securities Commission and for the last 14 years her own boutique law firm. As a former regulator and experienced securities lawyer, Barbara brings a special combination of skills and abilities to assist her clients.

Barbara's practice has a national and cross-border focus providing corporate finance and securities advice to public and private entities, advising them on capital raising, mergers & acquisitions, going public and going private transactions, takeover bids, corporate structuring, fund formation, debt & equity financing, corporate governance strategies and effective securities compliance programs.

Awards & Recognitions

Repeatedly recognized in the Canadian Legal Lexpert Directory as a leading lawyer in the area of Corporate Finance & Securities and most recently in the 2025 edition. The Directory recognizes Leading Legal Practitioners across Canada based on L:

https://ca.linkedin.com/in/barbara-hendrickson

an extensive peer survey process.

Listed in the 2025 edition of *The Best Lawyers in Canada*™. The Best Lawyer awards are given to lawyers who while demonstrating comprehensive expertise in their professional practices, also have also shown adaptability, ethics, and leadership. *The Best Lawyer* awards are seen as of symbols of esteem and the recognition of integrity in the legal community.

Consistently ranked as AV® Preeminent ™ Peer Review Rated by Martindale-Hubbell, which is given to an elite group of attorneys who have been rated highly by their peers as having Very High Ethical Standards and an A grade (4.5 or higher).

Ranked by Law Day Leading Practitioners as one of the top 10 environmental finance lawyers in Canada.

Recipient of the Women's Law Association President's Award, a prestigious award recognizing her substantial contribution and commitment to the promotion of women in law and as a leader in the legal profession.

Recipient of a recognition award by the Prospectors and Developers Association of Canada ("PDAC") award for her contribution as a board member and securities committee member of PDAC.

Recipient of the prestigious Arbor Award for her contributions to the University of Toronto in the carbon and environmental finance areas.

Professional Affiliations

Member of the British Columbia Fintech Advisory Forum which is a forum sponsored by the British Columbia Securities Commission to discuss and provide input on Fintech and digital asset innovations and related regulatory issues.

Member of the Ontario Bar Association Securities Committee.

Listed in the Ontario Security Commission (OSC) Beta Innovation Office Directory. The Directory connects experienced law firms with the start-up and innovation community.

See https://www.oscinnovation.ca/

Listed in *The Ontario Lawyers Directory for Whistleblowers* (the Directory), published by Whistleblowing Canada. *The Ontario Lawyers Directory for Whistleblowers* can be accessed on the website of the Whistleblowing Research Society.

Member of Lexis Nexis Practical Guidance Team in the area of Crypto assets.

Founder of the Toronto Business Lawyers Association which is a group of business lawyers in downtown Toronto who practice in

small firms and who get together for business development / referral services once a month. See: <u>torontobusinesslawyers.org</u>.

Past memberships include:

- Member of the Small & Medium Enterprises Committee and the Securities Advisory Committee of OSC.
- Co-chair of the OBA Securities Committee; Co-chair of the CBA Securities Committee; and hair of the CBA Business Law Section.
- Board member of the Prospectors & Developers Association of Canada ("PDAC") and past chair of its Securities Committee.
- Trustee of OBA Foundation. The OBA Foundation is the charitable arm of the Ontario Bar Association.\Vice Chair of the Responsible Investment Committee of the University of Toronto and chair of its Proxy Voting Subcommittee.
- Member of the Environmental Finance and Carbon Finance Advisory Committees of the University of Toronto.

Education & Calls to the Bar

- Called to the bars of Ontario (1994), British Columbia (2010).
- Juris Doctorate from the University of Calgary Law School (1982).
- Masters of Tax Law from Osgoode Hall Law School (1997).
- Masters of Law from the University of Toronto Law School in Administrative Law (1994).

BAX Securities Law is one of Canada's leading corporate securities law firms

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